

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
REQUEST FOR FILING NATIONAL PATENT APPLICATION

Under 35 USC 111(a) and Rule 53(b)

PATENT APPLICATION

Hon. Commissioner of Patents
Washington, D.C. 20231

WITH SIGNED DECLARATION

NONPROVISIONAL
NON REISSUE
NON PCT NAT PHASE



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H1046 U.S. PTO

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Sir:

Herewith is the PATENT APPLICATION of
Inventor(s): TODORI et al.

Title OPTICAL DISK HAVING SUPER-RESOLUTION FILM

Atty. Dkt.:	P 280037	T7K0-00S105-1
	M#	Client Ref

including:

Date: March 29, 2001

1. Specification: 73 pages (only spec. and claims) 2. ☐ Specification in non-English language
3. Declaration ☒ Original ☐ Facsimile/Copy ☒ Abstract 1 page(s); 28 numbered claims
4. ☒ Drawings: 4 sheet(s) ☐ informal; ☒ formal of size: ☒ A4 ☐ 11"
5. ☒ See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not complete item 6 or 7). (Prior I# _____ SN _____)
6. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application by this reference:

No.	<u>/</u>	filed	_____
No.	<u>/</u>	filed	_____
No.	<u>/</u>	filed	_____

7. ☒ See the attached Preliminary Amendment, which amends the specification to claim benefit of the above listed US applications
8. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
9. ☐ Prior application is assigned to _____

by Assignment recorded _____ Reel _____ Frame _____

10. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in JAPAN

11. _____ (country)

Application No.	Filing Date	Application No.	Filing Date
(1) 2000-092160	March 29, 2000	(2)	
(3)		(4)	
(5)		(6)	
(7)		(8)	
(9)		<input type="checkbox"/> See 3rd page for additional priorities	

12. 1 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ / _____ filed on _____
13. Small entity status ☐ ☒ is **not** claimed; ☐ is claimed (**Pre-filing confirmation required**)
- 13(a). ☐ Attached: _____ (No.) Small Entity Statement(s) (since 9/8/00 small entity statement(s) not essential to make claim)
- 13(b). ☐ See **NONPUBLICATION REQUEST** under Rule 213(a) attached (Pat-258)

14. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(4)	
(2)		(5)	
(3)		(6)	

15. ☐ This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

16. ☐ Attached:

17. ☐ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity		Fee Code
18. Basic Filing Fee				\$710/\$355	\$710	101/201
19. Total Effective Claims	28	minus 20 =	*8	x \$18/\$9 =	+ 144	103/203
20. Independent Claims	4	minus 3 =	*1	x \$80/\$40 =	+ 80	102/202
				*If answer is zero or less, enter "0"		
21. If any proper multiple dependent claim (ignore improper) is present, add (Leave this line blank if this is a reissue application)				+ \$270/\$135	+ 0	104/204
				TOTAL FILING FEE ENCLOSED =		
22. If "non-English" box 2 is X'd, add Rule 17(k) processing fee				+ \$130	+ 0	139
23. If "assignment" box 8 is X'd, add recording fee				+ \$40	+ 40	581
24. Attached is a Petition/Fee under Rule No.				+ \$130	+ 0	122
25. <input checked="" type="checkbox"/> Attached is a Petition/Fee under Rule No.						
				TOTAL FEE ENCLOSED =		
					\$974	

Our Deposit Account No. 03-3975

Our Order No. 8312

280037

C#

M#



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CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP
Intellectual Property Group**

By Atty: Glenn J. Perry

Reg. No. 28458

Sig: [Signature]

Fax: (202) 822-0944

Tel: (202) 861-3070

Atty/Sec: gjp/mjb

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments